



**STATE BAR OF WISCONSIN**

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October 8, 2015

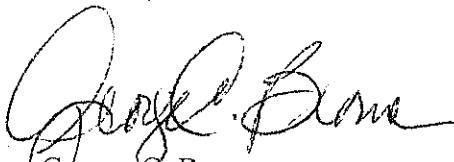
Chief Justice Patience D. Roggensack  
Wisconsin Supreme Court  
P.O. Box 1688  
Madison, WI 53701-1688

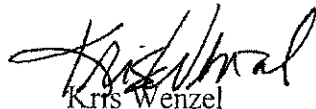
Re: Wisconsin Lawyers' Fund for Client Protection: Annual Report for Fiscal Year 2015

Dear Chief Justice Roggensack:

Per Supreme Court Rule 12.06 (7) Audit and Report of Activities, I have enclosed a copy of the Wisconsin Lawyers' Fund for Client Protection's annual report for fiscal year 2015 along with a history of claims that have been paid by the Fund. Please feel free to contact me if you have any questions.

Sincerely,

  
George E. Brown  
Executive Director

  
Kris Wenzel  
Fund Administrator

Enclosure

Copy: Justice Shirley S. Abrahamson (w/enclosures)  
Justice Ann Walsh Bradley (w/enclosures)  
Justice David T. Prosser, Jr. (w/enclosures)  
Justice Annette K. Ziegler (w/enclosures)  
Justice Michael J. Gableman (w/enclosures)



**STATE BAR OF WISCONSIN**  
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**REPORT OF  
THE WISCONSIN LAWYERS' FUND FOR CLIENT PROTECTION**

**FISCAL YEAR 2015  
JULY 1, 2014 THROUGH JUNE 30, 2015**

Attorney Amy Elizabeth Wochos (2016) was appointed chairperson to serve until June 30, 2015. In addition to Attorney Wochos, the other members serving on the committee in fiscal year 2015 were Attorney Lindsey D. Draper (2018), Attorney Stephen D. Chiquoine (2019), Attorney Deborah Smith (2015), Melissa Godden (2016), Attorney Wayne Maffei, who also served as vice-chair (2017), and Catherine Zimmerman (2018).

The committee met three times, August 4, 2014, December 9, 2014 and April 29, 2015 to consider claims filed and address other business.

**CLAIMS SUMMARY**

During fiscal year 2015, the committee acted upon a total of 96 claims. The claims included 77 new claims first presented in fiscal year 2015; nine claims deferred from fiscal year 2014; one claim that was reconsidered from fiscal year 2011; one claim that was reconsidered from fiscal year 2012; four claims that were reconsidered from fiscal year 2014; and four claims that were reconsidered from fiscal year 2015. The 96 claims were against 33 attorneys.

A total of 39 claims were approved in the following categories:

- Trust Account Conversion –two claims totaling \$16,340.80
- Misappropriation of Funds – 16 claims totaling \$242,461.88
- Unearned Advanced Fees – 20 claims totaling \$56,886.15
- Theft from Estate – one claim totaling \$124,978.90

The Fund approved claims against the following 18 individual attorneys:

- Jeffrey John Aleman – two claims totaling \$10,293.40
- Bridget E. Boyle– two claims totaling \$4,500
- Erika Anita Cannaday – three claims totaling \$3,106
- John M. Carroll – one claim totaling \$1,567.25
- Sean D. Cooper – one claim totaling \$1,200

- Robert Paul D'Arruda -- three claims totaling \$8,500
- Peter T. Elliott-- one claim totaling \$13,236.64
- Thad M. Gegner -- one claim totaling \$12,340
- Margaret E. Haggerty -- one claim totaling \$124,978.90
- Sarah E.K. Laux -- one claim totaling \$150,000
- Christopher A. Mutschler - one claim totaling \$15,000
- Suzanne Marie Smith -- one claim totaling \$2,724.50
- Harold E. Stafford -- one claim totaling \$1,195
- Gerald D. Stange - one claim totaling \$300
- Alan R. Stewart -- one claims totaling \$4,400
- Steven L. Wilson -- two claims totaling \$16,340.80
- Everett E. Wood -- one claim totaling \$1,000
- Randy J. Wynn -- 14 claims totaling \$79,225.24

Of the 98 claims considered, 38 were approved for payment in full, 15 were approved in part and denied in part, 45 were denied in full, and six were deferred for further consideration. As of the date of this report, 31 claims have been received for consideration in fiscal year 2016. In addition, the six deferred claims, totaling \$431,830.95 will again be considered in fiscal year 2016.

### **REVENUE**

The Wisconsin Lawyers' Fund for Client Protection ended fiscal year 2015 with a balance of \$125,663.49. During fiscal year 2015, there was a Wisconsin Lawyers' Fund for Client Protection assessment of \$20 per attorney per SCR 12.07(2) Annual assessments; reserve. (a) *Annual assessments.* Commencing with the state bar's 2013 fiscal year, every attorney shall pay to the fund an annual assessment of \$20. An attorney whose annual state bar membership dues are waived for hardship shall be excused from the payment of the annual assessment for that year. An attorney shall be excused from the payment of the annual assessment for the fiscal year during which he or she is admitted to practice in Wisconsin. (b) *Reserve.* As of May 1 of each year, any funds in excess of those required for payment of approved claims shall be maintained in a reserve account for the Wisconsin Lawyers Fund for Client Protection.

Total assessments collected amounted to \$411,520. Interest on deposits totaled \$424.88. Restitution collected from attorneys totaled \$24,654.81. Total funds available to the committee for distribution during fiscal year 2015, therefore, were \$436,599.69.

## EXPENSES

In fiscal year 2015, \$27,505 of fiscal year 2014 approved claims were paid. Of the 96 claims considered in the fiscal year 2015, 28 were paid for a total amount of \$358,437.85. The 14 claims against Randy J. Wynn, totaling \$79,320.89, were deferred for payment per **SCR 12.08 Payment of claims. (2) Limitations on payment. (b)** If the fund is not sufficient to pay all claims which the committee determines should be paid, the committee may, in its discretion, defer payment of all or any portion of one or more claims. If the full amount of the claim has not been paid within 5 years from the date the claim is made, any balance remaining unpaid shall remain unpaid and the claim shall be closed.

Administrative expenses were paid in the aggregate amount of \$46,757.02. Total disbursements made by the fund were thus \$432,699.87. As of the end of the fiscal year—June 30, 2015—the fund balance was \$125,663.49.

## OTHER ACTION

The Wisconsin Supreme Court established the Wisconsin Lawyers' Fund for Client Protection (WLFCP), formerly named the Clients' Security Fund, in 1981 to reimburse people who lost money through dishonest acts of Wisconsin attorneys. Claims include unearned retainer, theft from estate, misappropriation of funds, conversion of trust account funds, and theft by investment. Claims for reimbursement and all proceedings of the committee are subject to SCR 12.04-12. Reimbursement decisions are made at the discretion of the committee.

Chairperson Wochos said "This year was better than the last fiscal year, which was one of the worst in recent memory. However, once again, large claims (individual and aggregate) against attorneys who have been criminally convicted or are under indictment, as well as the usual smaller claims, substantially depleted the Fund. The administrator and committee members continue to gather information and review claims so as to be good fiscal stewards.

"Additionally this year, the committee began reviewing and revamping its collection efforts and began pursuing potential methods to prevent attorney fraud. However, the Fund's inability to

maintain a reserve is troubling to the committee and could be devastating should there be the type of catastrophic claims that Funds in other states have recently seen. This concern notwithstanding, the committee will continue to work to make whole the victims of attorney theft and to restore faith in the legal profession to those who have been victimized. It has been my honor to serve as committee chair this year.”

Respectfully submitted,

WISCONSIN LAWYERS’ FUND FOR CLIENT PROTECTION

By: /s/ Amy E. Wochos  
Atty. Amy Elizabeth Wochos, Chair

Date: October 6, 2015

Attachment 1 - Action on Claims  
Attachment 2 - Financial Statements  
Attachment 3 – Fiscal Year 2015 Claims by Attorney  
Attachment 4 – Fiscal Year 2015 Claims by Type of Theft

**Fiscal Year 2015****July 1, 2014-June 30, 2015****14-67 Karl Oberlin v. Atty. Erika Miller Cannaday**

Date Filed: 11/18/13

Amount Sought: \$1,500

License Status: Suspended 11/20/13 (license was temporarily suspended based on failure to respond to OLR specific to grievance filed by Oberlin).

Summary of Claim: Claimant hired Cannaday for post-judgment divorce matters. Based on information contained in the OLR preliminary staff investigative report, Cannaday allegedly failed to place unearned and advanced payment of fees in a trust until earned; failed to return (\$1,000) funds placed in her trust to be used to satisfy a judgment lien; and engaged in conduct involving dishonesty, fraud deceit or misrepresentation.

Action: Pay \$1,000 [8/5/14]

Reason: Dishonest conduct proven

**14-70 Madaleine S. Macsurak v. Atty. Kimberly A. Theobald**

Date Filed: 11/25/13

Amount Sought: \$1,500

License Status: Good

Summary of Claim: Claimant hired Theobald to handle her bankruptcy. Claimant states she did take the pre-filing counseling class and after that she never heard from Theobald. Claimant hired new counsel who completed her bankruptcy action.

Response from Attorney: Theobald claims claimant did not take the pre-filing course but stated in her December 4, 2013 letter to the fund that it is not worth her time or aggravation to argue against the claim. However, she has not issued the refund to the claimant that she offered in the letter.

Action: Claim withdrawn [8/5/14]

**14-95 Ruthie Furlow v. Sean D. Cooper**

Claim Filed: 3/19/14

Amount Sought: \$4,000

License Status: Revoked

Summary of Claim: Claimant hired Cooper to represent her in her EEOC discrimination charge wrongful termination case. Claimant alleges Cooper did not file and provided no services for the fees charged. April 29, 2015 Committee Action: Following discussion, Smith moved, seconded by Maffei, to defer action and request proof of payment from the claimant and OLR. The motion passed.

Action: Defer [4/29/15]

Reason: Requested additional information on payments made

**15-01 Atty. Richard A. Frederick v. Atty. Randy J. Wynn**

Date Filed: 4/28/2014

Amount Sought: \$1,049.84

License Status: Revoked 3/26/14

Summary of Claim: Claimant hired Wynn to provide collection services. Claimant alleges that Wynn misappropriated \$1,049.84. As part of Wynn's voluntary revocation petition, he acknowledged the theft; however the Wisconsin Supreme Court ordered restitution in the amount of \$1,044.84.

Action: Pay \$1044.84 [8/5/15]

Reason: The amount of restitution ordered by the Supreme Court, and to defer making the payment until after July 1, 2015 per SCR 12.08 (2)(b).

**15-02 Jefferson Block, LLC v. Atty. Randy J. Wynn**

Date Filed: 4/30/2014

Amount Sought: \$8,667.07

License Status: Revoked 3/26/14

Summary of Claim: Claimant hired Wynn to provide collection services. Claimant alleges that Wynn misappropriated \$8,667.07. As part of Wynn's voluntary revocation petition, he acknowledged the theft; the Wisconsin Supreme Court ordered restitution in the amount of \$8,667.07.

Action: Pay \$8,667.07 [8/5/14]

Reason: the amount of restitution ordered by the Supreme Court, and to defer making the payment until after July 1, 2015 per SCR 12.08 (2)(b). The motion passed.

**15-03 Margaret Huettner v. Atty. Randy J. Wynn**

Date Filed: 4/30/2014

Amount Sought: \$2,541.63

License Status: Revoked 3/26/14

Summary of Claim: Claimant hired Wynn to provide collection services. Claimant alleges that Wynn misappropriated \$2,541.63. As part of Wynn's voluntary revocation petition, he acknowledged the theft; the Wisconsin Supreme Court ordered restitution in the amount of \$2,541.63.

Action: Pay \$2,541.63 [8/5/14]

Reason: The amount of restitution ordered by the Supreme Court, and to defer making the payment until after July 1, 2015 per SCR 12.08 (2)(b).

**15-04 Michael Heath v. Atty. Bridget E. Boyle**

Date Filed: 5/05/2014

Amount Sought: \$5,000

License Status: Suspended 1/30/14

Summary of Claim: Claimant hired Boyle for a post-conviction matter. Claimant paid Boyle \$8,000 which she then placed in the firm's operating account instead of her client trust account. Boyle did file a post-conviction motion on behalf of claimant, as well as an appeal. Both were summarily denied. She then filed a petition for a writ of habeas corpus, however she failed to verify the petition or have the petition, along with a signed judicial order, served on the respondent. Boyle also failed to communicate with claimant. Later, when the respondent sought to dismiss the petition, Boyle failed to appear claiming that she was unaware the petition had been transferred to another county. Boyle has refused to refund any fees to claimant, who hired new counsel. OLR is not requesting that Boyle be ordered to make restitution to claimant.

Action: Deny [8/5/14]

Reason: Fee dispute



**15-05 Hanson & Payton, LLC v. Atty. Randy J. Wynn**

Date Filed: 5/08/2014  
Amount Sought: \$2,134.82  
License Status: Revoked 3/26/14

Summary of Claim: Claimant hired Wynn to provide collection services. Claimant alleges that Wynn misappropriated \$2,134.82. As part of Wynn's voluntary revocation petition, he acknowledged the theft; the Wisconsin Supreme Court ordered restitution in the amount of \$2,129.82.

Action: Pay \$2,129.82 8/5/14]

Reason: The amount of restitution ordered by the Supreme Court, and to defer making the payment until after July 1, 2015 per SCR 12.08 (2)(b). The motion passed.

**15-06 Elizabeth & Matthew Houk v. Atty. Erika Miller Cannaday**

Date Filed: 5/08/2014  
Amount Sought: \$1,606  
License Status: Suspended 11/20/13

Summary of Claim: Claimants hired Cannaday to handle their bankruptcy. Following a meeting with her on August 9, 2012, claimants agreed to proceed with a means test and signed a contract for service with Cannaday. Upon payment of \$250, claimants allege that Cannaday told them to stop paying their creditors. On June 12, 2013, claimants received a summons from a creditor and were told by Cannaday that they would need to pay the balance so that the bankruptcy could be filed.

Claimants then paid \$1,256 on June 17, 2013. Claimants completed the required pre-counseling courses and on June 25, 2013 emailed the certificates to Cannaday. When the email was sent, claimants received an auto-response from Cannaday's office stating she was on an extended absence and would be taking medical leave for about three weeks. Cannaday never performed the services she was hired to do; on November 25, 2013, claimants hired a new attorney who completed the paperwork, filed the bankruptcy and completed the bankruptcy.

Action: Pay \$1,606 [8/5/14]

Reason: Dishonest conduct proven

**15-07 Retha McCoy/Shamyra Nelson v. Atty. Robert D'Arruda**

Date Filed: 5/09/2014  
Amount Sought: \$2,000  
License Status: Suspended 4/16/14

Summary of Claim: Claimant hired D'Arruda to represent her daughter, Shamyra Nelson, in a criminal matter and who was incarcerated at the time. D'Arruda did make two visits with claimant's daughter, but was never heard from again after claimant made \$2,000 payment on April 7, 2014. He was a no-show at the April 10 and 29 court hearings.

Action: Pay \$2,000 [8/5/14]

Reason: Dishonest conduct proven

**15-08 Romeo Salgado/Armelingda Contrera v. Atty. William Murphy dba as Legal Helpers**

Date Filed: 5/12/2014

Amount Sought: \$1,785

License Status: Good

Summary of Claim: Claimants hired William Murphy through Legal Helpers to handle their bankruptcy. No work would be performed until all fees were paid, per Legal Helpers. Payments were made via automatic deductions via a contractual agreement with Legal Helpers. At the time of hire, Murphy was located in Milwaukee. He relocated to Appleton July 13, 2010. Claimants received a letter directly from Legal Helpers on December 8, 2010 stating it had received the final payment. Legal Helpers is no longer in business, nor are the primary attorneys at the main office listed in Chicago. While the contract was with Legal Helpers, Murphy was their representative at time of hire and met with claimants.

Action: Defer [8/5/14]

Reason: Following discussion, the committee agreed that more information was necessary specific to Murphy's employment arrangement with LegalHelpers and inquiring how the OLR is handling investigations against LegalHelpers. Chiquoine will communicate via letter with Murphy.

Action: Deny [12/9/14]

Reason: No dishonest conduct proven

**15-09 Nathaniel Alexander v. Atty. Robert D'Arruda**

Date Filed: 5/12/2014

Amount Sought: \$4,000

License Status: Suspended 4/16/14

Summary of Claim: Claimant hired D'Arruda to represent him in a criminal matter and paid him \$3,000 via a \$500 refund from his former attorney and a payment from claimant in the amount of \$1,500. Claimant states he paid the balance of \$1,000 in cash but did not receive a receipt from D'Arruda. It appears from the CCAP record D'Arruda did not perform any services for claimant from time of hire until his license suspension.

Action: Deny [8/5/14]

Reason: Claim is a fee dispute

**15-10 Rich Anderson v. Atty. Randy J. Wynne**

Date Filed: 5/14/2014

Amount Sought: \$3,166.90

License Status: Suspended 4/8/13; Revoked 3/26/14

Summary of Claim: Claimant hired Wynn to provide collection services. Claimant alleges that Wynn misappropriated \$3,166.90. As part of Wynn's voluntary revocation petition, he acknowledged the theft; the Wisconsin Supreme Court ordered restitution in the amount of \$2,800.90.

Action: Pay \$2,800.90 [8/5/14]

Reason: The amount of restitution ordered by the Supreme Court, and to defer making the payment until after July 1, 2015 per SCR 12.08 (2)(b).

**15-11 Walter H. Thomzik v. Atty. John Sattler (deceased)**

Date Filed: 5/20/2014

Amount Sought: \$1,000

License Status: Died 4/12/14

Summary of Claim: Claimant hired Sattler to represent him in a criminal matter around 1/15/14. Claimant alleges that Sattler told him he did not need to appear in court, but later learned that Sattler did not appear, either. Based on CCAP records, it does not appear that Sattler performed any services prior to his death.

Action: Deny [8/5/14]

Reason: Fee dispute

**15-13 Laurie & Michael Gleason v. Atty. Tina M. Dahle**

Date Filed: 5/20/2014

Amount Sought: \$7,007.72

License Status: Suspended 4/24/12

Summary of Claim: On or about December 2007, claimants hired Dahle to represent them regarding their debt and creditor collection matters. Claimants provided Dahl with a personal check in the amount of \$43,000 for Dahle to settle their numerous debts. Based on a status report filed with claimants on September 14, 2010, Dahle has settled and paid 12 of the 16 debts listed by paying \$35,992.28 to the creditors. The payments left a balance of \$7,007.71 of Gleason's funds. Dahle did not settle the four remaining outstanding debts and ignored requests from the claimants for a status report. Claimants filed a grievance with OLR on May 9, 2012. The grievance is part of a disciplinary proceeding currently proceeding against Dahle.

Response from Dahle: Dahle states that she has NEVER acknowledged or agreed that she owes claimants any money. But, if despite evidence presented at trial, and she would be ordered to pay, she "can and will do so at that time.

Action: Deny [8/5/14]

Reason: Fee dispute, with the stipulation that should the Supreme Court enter a finding of dishonesty in a fiduciary capacity and orders restitution to the claimants, they should then resubmit their claim.

**15-14 Gustavo A. Espino/Rebecca S. Kietzke v. Atty. Suzanne M. Smith**

Date Filed: 5/22/2014

Amount Sought: \$1,000

License Status: Suspended 2/1/14

Summary of Claim: Ms. Kietzke paid Smith \$1,000 in November of 2013 to represent her former foster child. On December 10, 2013, claimant alleges that Smith called the correctional facility to tell the client that she had received the payment and that after the New Year she would file a motion for time credit. On January 14, 2014, Smith called the facility to tell the client that her license would be suspended for six months. Claimant, Kietzke, said that Smith should have known her license to practice law could possibly be suspended and she should have informed both her and the client.

Action: Deny [8/5/14]  
Reason: Fee dispute

**15-15 Robert Paul Schaeffler v. Atty. Gerald D. Stange**

Date Filed: 5/22/2014

Amount Sought: \$300

License Status: Revoked 6/27/12

Summary of Claim: Claimant hired Stange to handle his bankruptcy. No services were performed.

Action: Defer [8/5/14]

Reason: Following discussion, Draper moved, seconded by Wochos, to defer action and ask the claimant to answer question #7 on the application form.

**15-16 Trenell Scott v. Atty. Robert D'Arruda**

Date Filed: 5/22/2014

Amount Sought: \$500

License Status: Suspended 4/16/14

Summary of Claim: Claimant hired D'Arruda and paid him \$500 on April 1, 2014 to represent him in a criminal matter. D'Arruda did not appear for claimant's May 6, 2014 hearing and did not return phone calls. Claimant states he learned of D'Arruda's license suspension from the circuit court judge.

Action: Pay \$500 [8/5/14]

Reason: Dishonest conduct proven

**15-17 Donald D. & Lana J. Brashi v. Atty. Heather L. Spencer**

Date Filed: 5/27/2014

Amount Sought: \$2,250

License Status: Good

Summary of Claim: Claimants hired Spencer to purchase a Revocable Living Trust which was drawn up on August 22, 2012. Claimants allege that Spencer made numerous errors and did not transfer the accounts into the trusts. Claimants requested a full refund from Spencer. Claimants then filed a small claims action against Spencer. The court issued a default judgment for the claimants in the amount of \$2,519, which includes service and filing fee.

Action: Deny [8/5/14]

Reason: Claim is malpractice and a fee dispute

**15-18 Leslie S. Lutzow v. Atty. Suzanne M. Smith**

Date Filed: 5/27/2014

Amount Sought: \$2,724.50

License Status: Suspended 2/1/14

Summary of Claim: Claimant hired Smith to handle her divorce and alleges that she paid Smith a total of \$2,724.50. Claimant does not have proof of payments, however, a letter from Smith acknowledges she received money from claimant and that she does owe her money.

Action: Defer [8/5/14]  
Reason: Smith will contact Suzanne Smith prior to the December 9 meeting to inquire about her obligation to claimant.  
Action: Pay \$2,724.502/9/14}  
Reason: Dishonest conduct proven

**15-19 Thomas J. Babl v. Atty. John Sattler (deceased)**

Date Filed: 6/06/2014  
Amount Sought: \$1,000  
License Status: Deceased 4/16/14

Summary of Claim: Claimant hired Sattler on February 22, 2014 to represent him in a criminal matter. Claimant states that Sattler did appear at the initial appearance on March 12, 2014; however, claimant learned at his March 16 hearing that Sattler had died. Claimant was then put in contact with the Atty. Andrew Smith, court appointed trustee, who told him that Sattler did not have any funds for reimbursement to former clients.

Action: Deny [8/5/14]  
Reason: Fee dispute

**15-20 Thomas H. & Mary A. Dexter v. Atty. Randy J. Wynn**

Date Filed: 6/09/2014  
Amount Sought: \$7,910.21  
License Status: Revoked 3/26/14

Summary of Claim: Claimants hired Wynn to provide collection services. Claimant alleges that Wynn misappropriated \$7,910.21. As part of Wynn's voluntary revocation petition, he acknowledged the theft; the Wisconsin Supreme Court ordered restitution in the amount of \$7,910.21.

Action: Pay \$7,910.21 [8/5/14]  
Reason: The amount of restitution ordered by the Supreme Court, and to defer making the payment until after July 1, 2015 per SCR 12.08 (2)(b).

**15-21 Tiffany Lila v. Atty. John Miller Carroll**

Date Filed: 6/11/2014  
Amount Sought: \$2,500  
License Status: Good as of 7/7/14 (Suspended 2/1/14)

Summary of Claim: Claimant hired Carroll to represent her in a criminal traffic matter. She made two payments, September 25 and October 1, 2013. Miller did reimburse claimant \$108.25 on March 1, 2014. Claimant filed for small claims initially; however, the court sent it to fee arbitration. Claimant filed for fee arbitration with the SBW; however, Miller did not respond to the first request and failed to submit it timely to the second request. At that time, claimant filed with the WLFCP. Claimant signed an authorization for Carroll to represent her and be present at the January 23, 2014 initial hearing and his letter to her indicated that she did not need to be present. Miller's suspension had first been noted as effective January 23; but later amended by the Supreme Court to February 1, 2014. Carroll said instead of refunding the advance fee, he recommended to claimant that he would

keep the case and she should ask the judge, at the February 17 initial hearing, to move the date of the initial to July. Claimant states she told Carroll she wanted a full refund. On March 1 she received an itemized statement along with a check for \$108.25. The Outagamie County Circuit Court granted a judgment for the plaintiff against Carroll in the amount of \$1,097.50. Carroll filed a demand for jury trial on July 3 to appeal the judgment.

Action: Defer [8/5/14]

Reason: Pending the results of the appeal to the judgment filed by Carroll.

Action: Pay 41,567.25 [12/9/14]

Reason: Small claims order against Carroll

**15-22 Sherie L. Atkins v. Atty. Robert D'Arruda**

Date Filed: 6/17/2014

Amount Sought: \$2,900

License Status: Suspended 4/16/14

Summary of Claim: Claimant hired D'Arruda to represent her fiancé, Deonte Hill. The first payment was made on January 25; the last on March 28, 2014. D'Arruda's license was suspended on April 16.

D'Arruda did not inform claimant or client that his license had been suspended and no services were performed.

Action: Deny [8/5/14]

Reason: Fee dispute

Action: Deny request for reconsideration [12/9/14]

Reason: Fee dispute

**15-23 Christopher G. Peters v. Atty. John Sattler**

Date Filed: 5/17/2014

Amount Sought: \$750

License Status: Deceased (4/16/14)

Summary of Claim: Claimant hired Sattler to represent him on a fourth OWI charge. Sattler did make two appearances on behalf of claimant prior to his death. He is seeking a partial reimbursement.

Action: Deny [8/5/14]

Reason: Fee dispute

**15-24 Carol Ann Michalowski v. Atty. John Sattler**

Date Filed: 6/17/2014

Amount Sought: \$2,350

License Status: Deceased (4/16/14)

Summary of Claim: Claimant hired Sattler to represent her for multiple traffic incidents, including first OWI. It appears from CCAP that Sattler may have appeared on two occasions early in the representation, but did not appear as of March 28, 2014. This may be a fee dispute, but, there may also be a pattern of conduct on the part of Attorney Sattler.

Action: Deny [8/5/14]

Reason: Fee dispute

**15-25 Robert & Kathleen Burkart-Lemke v. Atty. Sarah E.K. Laux**

Date Filed: 6/24/2014

Amount Sought: \$5,000

License Status: Good

Summary of Claim: Claimant hired Laux to create a trust following their participation in two presentations on trusts made by Laux prior to August 2013. Claimants paid her \$2,500 on September 27, 2013, along with all of their financial documents. A second payment of \$2,500 was made on December 17, 2013. Claimants allege they left several messages with Laux in February of 2014 and none were returned. Claimants finally met with Laux on March 7. No contact was made by Laux with claimants from March 7 - May 16. On May 16, claimants read an article in the *Milwaukee Journal Sentinel* about Laux admitting to forgery, theft, misrepresentation, etc. Claimants confronted Laux on May 20 to return their file, which they have yet to receive. No services were performed by Laux.

Action: Defer [8/5/14]

Reason: Ask claimants to provide additional information: provide a copy of the trust and tell the committee if they put any property or money into the trust.

Action: Deny [12/9/14]

Reason: Fee dispute

**15-26 Bobby Lawrence Evans v. Att. Bridget E. Boyle**

Date Filed: 6/24/2014

Amount Sought: \$3,500

License Status: Suspended 1/30/14

Summary of Claim: Claimant hired Boyle to represent him in a criminal matter. He does not state what the matter was or the date. He does allege that Boyle took the \$3,500 and did not perform any services. A search of CCAP and the WI Supreme Court online case records do not show Bridget Boyle as an attorney of record for claimant.

Action: Defer [8/5/14]

Reason: Pending receipt of additional information

Action: Pay \$2,000 [12/9/154]

Reason: Information received and dishonest conduct proven

**15-27 Jeremy Wine v. Atty. Marcus J. Berghahn**

Date Filed: 6/13/2014

Amount Sought: \$10,000

License Status: Good

Summary of Claim: Claimant alleges he paid Berghahn \$10,000 to seek a sentence reduction. Claimant alleges the money was paid directly to Berghahn by a friend and that the friend's identity cannot be revealed. There is no direct proof of payment, only the notes in the fee agreement made by claimant, and initialed only by him.

Action: Defer [12/9/14]

Reason: Allow Attorney Berghahn time to respond to the claim.

Action: Action was deferred to allow Attorney Berghahn time to respond to the claim.

Action: Deny [4/29/15]  
Reason: Dishonest conduct was not proven and no proof of payment was received

**15-30 Debra Ann McDade v. Atty. Robert D'Arruda**

Date Filed: 6/30/2014  
Amount Sought: \$3,100  
License Status: Suspended 4/16/14

Summary of Claim: Claimant hired D'Arruda in January of 2014 to represent her son, Isaiah R. Powell. She paid a total of \$3,100 over four payments. D'Arruda did make a few appearances on behalf of the claimant, however, he was aware that his license was in jeopardy of being suspended for not responding to a request of the Supreme Court.

Action: Deny [8/5/14]  
Reason: Fee dispute

**15-32 Mi Kim v. Atty. Kahja Din**

Date Filed: 6/30/2014  
Amount Sought: \$3,000  
License Status: Good

Summary of Claim: Claimant hired Din to represent her in immigration matters. Claimant alleges she hired Din on 12/31/13 and paid him \$3,000. Claimant alleges that she attempted to contact Din on several occasions and he either did not return calls or refused to see her in his office. He has yet to perform any services and will not communicate with claimant.

Action: [8/5/14] No action was necessary as claimant withdrew her application because Din returned the \$3,000 to claimant.

**15-33 Jalong M. Evans v. Atty. Robert D'Arruda**

Date Filed: 6/30/2014  
Amount Sought: \$2,350  
License Status: Suspended 4/16/14

Summary of Claim: Claimant hired D'Arruda to represent her in a criminal case in Milwaukee County. It appears from CCAP that D'Arruda did represent her in court proceedings.

Action: Deny [4/29/15]  
Reason: Fee dispute

**15-34 Lloyd Boyles v. Atty. Nikola Kostich**

Date Filed: 6/30/2014  
Amount Sought: \$12,500  
License Status: Deceased August 30, 2014

Summary of Claim: Claimant alleges Kostich forged his signature on a check and then cashed the check. Kostich was hired to represent claimant on a robbery charge. Kostich also represented claimant's mother and alleges a 30-year relationship existed between Kostich and claimant's family. While claimant later alleged that Kostich forged his signature, claimant did state in the application that he asked Kostich to cash his check and that he "put trust in him to cash my check while



incarcerated.”

Response from Kostich: Kostich replied on August 4 to claimant’s allegations. Kostich said he was able to get claimant a probation sentence on an armed robbery charge and that he also represented claimant’s mother in a major drug and human trafficking case and was able to obtain a dismissal of charges. Kostich stated that Boyle’s mother, Alesia Boyles, brought the check to his office. Kostich then spoke with claimant who allegedly authorized him to cash the check and to deposit the funds into his business account to pay for legal fees for him and his mother.

Action: Deny [12/9/14]

Reason: Fee dispute

**15-37 Karen Schuffham v. Atty. Erika L. Moore**

Date Filed: 2/6/2015

Amount Sought: \$2,500

License Status: Good

Summary of Claim: Claimant hired Moore to represent her son in a child custody matter. She paid Moore \$2,500 on May 28, 2013. Claimant alleges that Moore took the advance fee but did not perform services and compromised the matter.

Action: Defer [4/29/15]

Reason: Committee agreed it would need to see the billing records of Attorney Moore and ask her to provide an explanation of same. Request Attorney Moore to send a waiver to her client Jason Schuffham allowing her to provide a copy of the billing records. A letter will be to Attorney Moore asking her to draft and send the waiver to Jason Schuffham.

**15-38 Lamar Walton v. Atty. Bridget E. Boyle**

Date Filed: 7/7/2014

Amount Sought: \$7,750

License Status: Suspended 1/30/14

Summary of Claim: Claimant submitted a request for fee arbitration through the State Bar of Wisconsin. An arbitration hearing was held on November 6, 2013 with a decision found in favor of claimant in the amount of \$7,750. Per the rules governing the fee arbitration program, Boyle was to have issued the refund within 30-days of the date of the mailing of the decision. To date, Boyle has not issued the refund. A copy of the decision of the fee arbitration panel is included.

Action: Pay \$2,500 [8/5/14]

Reason: Represents the amount of the award given by the State Bar of Wisconsin Fee Arbitration Panel.

**15-39 Jeremy L. Jones v. Atty. Allison Ritter**

Date Filed: 7/1/2014

Amount Sought: \$4,800

License Status: Good

Summary of Claim: Claimant hired Ritter to represent his brother, Lloyd Jones in a criminal matter. When claimant met with Ritter on October 14, 2013 he paid her \$4,800 in cash. Around October 20-22, 2013, claimant received a phone call from Attorney Kaivan Yazdani that Ritter was ill; claimant

called Ritter who told him she would be back on the case by January 2014 and that Yazdani's law firm, Nistler and Condon, would honor the \$4,800 payment and receipt made to her on October 14. During the course of representation, claimant states that he learned that Ritter had not transferred the \$4,800 payment to Nistler & Condon. Ritter later told claimant that she had not deposited the cash but instead had placed it in her briefcase, which she then alleged had been stolen. Claimant states that Ritter did not earn the \$4,800.

Response from Ritter: Ritter confirms that claimant paid her \$4,800 in cash and that she was robbed of her briefcase, purse and her identification information and credit cards. She states that she was intoxicated the night of the theft and has no recollection. She was eventually admitted into an inpatient facility in Oshkosh for a 28-day rehabilitation. She states that a friend, who is also an attorney, reached out to Attorney Nistler for assistance to cover Mr. Jones's case. Ritter states that she was not involved in the direct discussions with Mr. Nistler's firm because of her hospitalization. Ritter believes that the Nistler law firm gave full credit for the \$4,800 towards the legal fees in the Jones case and she is currently making repayments to the firm. She does not believe the claimant should be reimbursed.

Action: Deny [12/9/14]

Reason: Fee dispute

**15-40 Linda Kohler v. Atty. Robert D'Arruda**

Date Filed: 7/14/2014

Amount Sought: \$5,500

License Status: Suspended 4/15/14

Summary of Claim: Claimant hired D'Arruda to represent her grandson. She was contacted in October 2013 by her son that her grandson was being charged with a crime. A verbal agreement was made between her son and D'Arruda for criminal representation for a total amount of \$7,500.

Claimant made initial payment of \$1,500 on 12/27/13. Additional payments were made on 1/27/14; 2/28/14; 4/1/14; and 4/30/14. Claimant states that all but the 4/31/14 cashier's checks were cashed. She stopped making payments because D'Arruda was not sending her itemized statements. Her son also tried to contact D'Arruda. Her grandson first learned of D'Arruda's suspension when he received a letter on June 6, 2014 from the DOJ. No representation was provided by D'Arruda.

Action: Pay \$4,500 [12/9/14]

Reason: Amount of payment proved. If claimant is able to provide proof of the additional \$1,000 to resubmit for that lower amount.

Action: Pay \$1,000 [4/29/15]

Reason: Proof of payment provided

**15-41 David Montemurri/Milentonon Programs for Children v. Atty. Randy J. Wynn**

Date Filed: 7/22/2014

Amount Sought: \$7,510.54

License Status: Suspended 8/9/13; Revoked 3/26/14

Summary of Claim: Claimant hired Wynn for collection matters. Claimant alleges that Wynn stole \$7,510.54. The Wisconsin Supreme Court ordered restitution to the claimant in the amount of \$7,510.54.

Action: Defer action on all currently pending claims filed against Randy J. Wynn [12/9/14]  
Reason: Send a letter to all claimants seeking additional, specific information.  
Action: Pay \$7,510.54 4/29/15]  
Reason: Information request was received and dishonest conduct proven

**15-42 Jessie Michael Bohman v. Atty. John Sattler**

Date Filed: 7/22/14

Amount Sought: \$1,600

License Status: Deceased 4/12/14

Summary of Claim: Claimant hired Sattler to represent him in a criminal matter. He made four payments totaling \$1,600 from January 26, 2011-January 9, 2014.

He retained Sattler with:

A partial retainer payment of \$200 on 1/26/11

Paid the balance of the retainer of \$800 on 2/7/11; and

Paid \$800 on 1/18/12 for a jury trial fee

Claimant alleges that there was no activity on his case per CCAP from 1/20/12 – 9/17/13 but that he made an additional \$800 payment on 1/9/14 that was requested from Sattler. On April 16, 2014, claimant received a letter informing him of Sattler's death. Claimant retained new counsel on 5.20.12 with an additional \$1,000 payment

Action: Deny [12/9/14]

Reason: Fee dispute

**15-44 Paul F. Hendrixx v. Atty. Robert D'Arruda**

Date Filed: 8/4/2014  
Amount Sought: \$3,000 (proof of payments total \$2,000)  
License Status: Suspended 4/16/14

Summary of Claim: Claimant hired D'Arruda to represent him in a criminal matter. He paid \$1,500 on 1/20/14 when he signed the fee agreement. He alleges he made two additional payments of \$500 each but does not have proof of those payments. The third payment of \$500 is proven with a receipt. This payment was made on a sidewalk in Milwaukee, not in an office and it was made on the day D'Arruda's suspension took effect. D'Arruda did make a few appearances in court on behalf of the defendant.

Action: Deny [12/9/14]  
Reason: Fee dispute  
Action: Pay \$500 [4/29/15]  
Reason: Client provided proof of dishonest conduct with his submission for reconsideration

**15-45 Linda M. Cook v. Atty. Erica Cannaday**

Date Filed: 8/4/2014  
Amount Sought: \$500  
License Status: Suspended 11/20/13

Summary of Claim: Claimant and former roommate hired Cannaday in a landlord/tenant matter. They paid \$500 but Cannaday did not perform any services. Claimants did receive a judgment against Cannaday for the legal fee. Claimant and former roommate no longer reside together and do not share a bank account and asks that if an award is given, the check be written out to either her or the former roommate.

Action: Pay two payments of \$250 to claimant and former roommate [12/9/14]  
Reason: Two claimants and dishonest conduct was proven

**15-46 Craig R. and Amanda S. Hammen v. Atty. Alan Stewart**

Date Filed: 8/6/2014  
Amount Sought: \$4,400  
License Status: Good (accepted service but did not provide a response)

Summary of Claim: Claimant hired Stewart January 3, 2014 to file a non-provisional patent application. They paid \$4,400 and signed the engagement letter. Claimants met with Stewart on two occasions. Claimants did have communication for a short time with Stewart email, but then were not able to contact him or receive a response to emails. Claimants ended up hiring new counsel in early May 2014 to get the patent application done and submitted before the May 21, 2014 deadline.

Action: Pay \$4,400 [12-9/14]  
Reason: Failure to return an unearned advance fee

**15-48 Blankenstein Enterprises Inc., v. Atty. Randy J. Wynn**

Date Filed: 8/8/2014  
Amount Sought: \$9,430.63  
License Status: Suspended 8/9/13; Revoked 3/26/14

Summary of Claim: Claimant hired Wynn for collection matters. The WI Supreme Court awarded restitution to Blankenstein Enterprises Inc. in the amount of \$3,948. The WLFCP approved a portion of the restitution ordered by the Supreme Court via Claim #14-77 in the amount of \$939.78 on May 13, 2014. Claimant states that David Feiss of the Milwaukee County District Attorneys' Office informed him of six additional files from which Wynn collected funds but did not forward to them. The six files total a theft of \$9,430.63. It appears that these files were found after the Supreme Court set the restitution amounts following Wynn's revocation hearing.

Action: Defer action on all currently pending claims filed against Randy J. Wynn [12/9/14]

Reason: Send a letter to all claimants seeking additional, specific information

Action: Pay \$3,948 [4/29/15]

Reason: Information received and dishonest conduct proven

**15-49 Monica Davis v. Atty. Michael F. Bishop**

Date Filed: 8/8/2014

Amount Sought: \$2,500

License Status: Good

Summary of Claim: Claimant filed for fee arbitration and was awarded \$1,500 following an arbitration hearing on April 24, 2014. Per the rules governing the fee arbitration program, Attorney Bishop was to issue the refund within 30-days of the date of the decision. He did not issue the refund and claimant filed with the Fund. Communication was received from Claimant on October 16, 2014 that Attorney Bishop had paid her \$750 of the amount owed. This would bring the amount eligible for reimbursement to \$750.

Action: Deny [12/9/14]

Reason: Claimant has been reimbursed by the attorney

**15-50 Mark Pichelmeyer v. Atty. Bridget E. Boyle**

Date Filed: 8/13/2014

Amount Sought: \$3,500

License Status: Revoked 7/18/14

Summary of Claim: Claimant hired Boyle in 2006. Boyle took the payment but did not perform any services. Claimant was incarcerated so his now deceased mother communicated with Ms. Boyle concerning her not providing any legal services through September 8, 2008. Claimant has included a copy of a letter sent by his mother to the State Bar in 2006 stating they wished to file a complaint. This letter was not received by the fund administrator as no WLFCP materials were sent.

Action: Deny [12/9/14]

Reason: Claim is a fee dispute

**15-51 National 55 Limited Partnership v. Atty. Randy J. Wynn**

Date Filed: 8/20/2014

Amount Sought: \$3,422.33

License Status: Revoked 3/26/14

Summary of Claim: Claimant hired Wynn for collection matters. Claimants allege that Wynn stole \$3,422.33 in funds that were owed to them. The Wisconsin Supreme Court ordered restitution to the

claimant I the amount of \$3,317.33.

Action: Defer action on all currently pending claims filed against Randy J. Wynn [12/9/14]

Reason: Send a letter to all claimants seeking additional, specific information

Action: Deny [4/29/15]

Reason: No response from claimant to request for additional information

**15-52 Garden Pool Partnership v. Atty. Randy J. Wynn**

Date Filed: 8/19/2014

Amount Sought: \$4,926.41

License Status: Revoked 3/26/14

Summary of Claim: Claimant hired Wynn for collection matters. Claimants allege that Wynn stole \$4,926.41 in funds that were owed to them. The Wisconsin Supreme Court ordered restitution to the claimant I the amount of \$4,801.41.

Action: Defer action on all currently pending claims filed against Randy J. Wynn [12/9/14]

Reason: Send a letter to all claimants seeking additional, specific information

Action: Deny [4/29/15]

Reason: No response from claimant to request for additional information

**15-53 Austiana D. Jackson v. Atty. Robert D'Arruda**

Date Filed: 8/20/2014

Amount Sought: \$5,000

License Status: Suspended 4/16/14

Summary of Claim: Claimant hired D'Arruda for representation in a criminal matter for Rosetta Bracken. Claimant paid \$5,000 of the \$10,000 fee on March 15, 2014 and D'Arruda made two court appearances on March 24 and April 9. Claimant learned of D'Arruda's suspension on from a social worker.

Action: Deny [12/9/14]

Reason: Claim is a fee dispute

**15-54 Frazier & Shapiro SC v. Atty. Randy J. Wynn**

Date Filed: 8/22/2014

Amount Sought: \$984.25

License Status: Revoked 3/26/14

Summary of Claim: Claimant hired Wynn for collection matters. Claimants allege that Wynn stole \$984.24 in funds that were owed to them. The Wisconsin Supreme Court ordered restitution to the claimant in the amount of \$984.25.

Action: Defer action on all currently pending claims filed against Randy J. Wynn [12/9/14]

Reason: Send a letter to all claimants seeking additional, specific information

Action: Pay \$984.25 [4/29/15]

Reason: Requested information received and dishonest conduct proven

**15-55 Barbara G. Robinson v. Atty. Joan Marie Boyd**

Date Filed: 9/1/2014  
Amount Sought: \$13,500 (has proof of only \$10,000; balance she sought was paid to NLPA)  
License Status: Suspended 8/28/08  
Summary of Claim: Claimant hired Boyle to represent her son in a criminal matter. She made the first payment of \$10,000 on 12-1-2003. Boyd provided no legal services for claimant's son. Claimant first learned of the WLFCP in May of 2014.  
Action: Deny [12/9/14]  
Reason: Statute of limitations passed.  
Action: Deny request for reconsideration [4/29/15]  
Reason: No new information provided to support request for reconsideration

**15-57 Sarah J. Pennington v. Atty. Cheryl P. Baraty**

Date Filed: 9/25/2014  
Amount Sought: \$1,000  
License Status: Deceased  
Summary of Claim: Claimant hired Baraty in April of 2012 for a family law matter. Claimant states that when she met with Baraty, Baraty told her that she had cancer and was insistent that would be able to handle the matter. Baraty is listed as the attorney of record from October 15, 2012 until the time of her death April 3, 2013. Services were performed, but claimant feels the full retainer was not earned.  
Action: Deny [12/9/14]  
Reason: Fee dispute

**15-58 Prince D. Key v. Atty. Bridget E. Boyle**

Date Filed: 8/13/2014  
Amount Sought: \$10,000  
License Status: Revoked 7/18/14  
Summary of Claim: Claimant hired Boyle in February 2008 to file an appeal. By late September 2011 claimant states he noticed nothing was being done on his case. Claimant filed a grievance with the OLR on November 3, 2011. On July 18, 2014, the Wisconsin Supreme Court ordered restitution to the claimant in the amount of \$10,000.  
Action: Pay \$10,000 [12/9/14]  
Reason: Supreme Court Ordered restitution

**15-60 John R. Reinhardt v. Atty. James Casper**

Date Filed: 10/7/2014  
Amount Sought: \$1,406  
License Status: Deceased 1/17/14  
Summary of Claim: Claimant hired Casper to handle his bankruptcy. He paid the initial retainer fee of \$500 on June 27, 2013. The balance of \$906 was paid on July 7, 2013. It is not known if the bankruptcy was filed.

Action: Pay \$1,406 [12/9/14]  
Reason: Dishonest conduct proven

**15-61 Dennis Lee Kroner v. Atty. Jeffrey Aleman (dba Legal Helpers)**

Date Filed: 10/7/2015  
Amount Sought: \$2,400  
License Status: Good

Summary of Claim: Claimant hired Legal Helpers to help him settle debts. Legal Helpers was paid monthly directing from claimant's checking account. The payments began in June of 2011 and concluded in March of 2012. Claimant filed with OLR in October 2013. Claimant states that in the summer of 2012, he received judgments against him by his creditors. Claimant made numerous attempts to contact Legal Helpers for a refund but no one got back to him or responded to his faxes. There is an active case in Dane County with Legal Helpers as defendants. A copy of the complaint is included in the agenda materials.

Action: Pay \$2,400 [4/29/15]  
Reason: Failure to return an unearned advance fee

**15-63 James E. Dittmar/Capitol-Husting v. Atty. Randy J. Wynn**

Date Filed: 10/7/2015  
Amount Sought: \$2,444.81  
License Status: Revoked

Summary of Claim: Claimant states that Wynn had handled their bad debt accounts since 1985. They are seeking reimbursement of losses incurred between January 2008 and November 2012. Fund administrator sent Mr. Dittmar the letter drafted by committee vice chair Maffei and his response is included in the agenda materials

Action: Pay \$2,444.81 [4/29/15]  
Reason: Is the amount of restitution ordered by the Supreme Court, and to defer making the payment until after July 1, 2015 per SCR 12.08 (2)(b).

**15-64 William A. Keys v. Atty. Harold E. Stafford**

Date Filed: 10/10/2015  
Amount Sought: \$2,125  
License Status: Good

Summary of Claim: Claimant hired Consumer First Legal Group in 2012 to help him avoid foreclosure. He made an agreement with the firm to have the required funds automatically withdrawn from his checking account. After his home was foreclosed upon, he learned that the firm had only made a few calls for basic information and verification. Claimant was contacted by the New Mexico AG about his situation. A call was made to claimant's lender who said they had not received any communication from Stafford's firm.

Response from Attorney: You will see from Stafford's submission that Consumer First Legal Group is associated with Atty. Jeffrey Aleman of Macey, Aleman & Searns, also known as Legal Helpers. He denies any theft of funds.



Action: Pay \$1,195 [4/29/15]  
Reason: Dishonest conduct proven and the amount represents the requested amount less the \$930 refunded by Stafford

**15-65 Carol and Raymond Hartel v. Atty. Robert D'Arruda**

Date Filed: 10/10/2015

Amount Sought: \$1,000

License Status: Suspended 4/16/14

Summary of Claim: Claimants hired D'Arruda to represent their son, Raymond Hartel. They paid \$200 to D'Arruda on February 1, 2014; and \$800 on February 23, 2016, seven days after D'Arruda's license to practice law had been suspended. No services were performed.

Action: Deny [4/29/15]

Reason: Fee dispute

**15-66 Sharon Miller v. Attys. Hannah Yancy/William Murphy**

Date Filed: 10/14/2015

Amount Sought: \$1,100

License Status: Suspended 4/16/14

Summary of Claim: Claimant met with Hannah Yancy at the Madison office of Macey Bankruptcy Law to discuss filing for bankruptcy. Macey is part of the litigation involving Legal Helpers; however, in this instance, claimant states she met with Atty. Yancy and the contract was signed by Attorney Yancy. No services were performed.

Action: Deny [4/29/15]

Reason: No dishonest conduct was proven against the attorney named in the claim

**15-68 Jamie Orst v. Atty. Tod O. Daniels**

Date Filed: 10/20/2015

Amount Sought: \$5,000

License Status: Good

Summary of Claim: This appears to be a fee dispute as services were performed by Atty. Daniels. Additionally, Orst's complaint with the OLR was dismissed.

Action: Deny [4/29/15]

Reason: Fee dispute

**11. 15-69 Larry R. Zarling v. Atty. Everett E. Wood**

Date Filed: 10/20/2015

Amount Sought: \$1,000

License Status: Suspended 3/1/13

Summary of Claim: Claimant hired Wood to commence a law suit against a business in Madison. Claimant states he received a letter stating what the legal fees would be and a copy of the complaint filed against the business. However, no other services were performed.

Response from OLR: Wood's grievance was closed pending a petition by Atty. Wood for reinstatement of his license to practice law. The evidence provided by Mr. Zarling showed that Atty.

Wood was given \$1000.00 for anticipated costs in filing a lawsuit. Atty. Wood never filed suit and did not return the unused \$1000.00 when the representation was terminated by the suspension of Atty. Wood's license to practice law. OLR did request that Atty. Wood provide a formal written response to Mr. Zarling's grievance but Atty. Wood declined to do so. Given that Atty. Wood is suspended and has indicated that he does not have plans to seek reinstatement for the foreseeable future, the grievance was closed. It will be reopened if Atty. Wood petitions for reinstatement.

Action: Pay \$1,000 [4/29/15]

Reason: Failure to return unearned fee

**15-70 Dianne L. Mikula v. Atty. Bridget E. Boyle**

Date Filed: 10/28/2015

Amount Sought: \$2,000

License Status: Revoked

Summary of Claim: Claimant hired Boyle to represent her granddaughter in a family matter. She paid \$2,000 on February 19, 2013. Boyle made her first appearance on behalf of the granddaughter on February 20.

Response from Boyle: Boyle states that she had represented the granddaughter in the past and that she provided representation for the family matter. She claims it is a fee dispute.

Action: Deny [4/29/15]

Reason: Fee dispute as services were provided

**15-72 Irma L. Palmer v. Atty. Philip A. Shepherd**

Date Filed: 10/31/2014

Amount Sought: \$1,000

License Status: Administrative Suspension 10/31/14

Summary of Claim: Claimant hired Shepherd handle the legal documentation from the sale of the family farm to Ed Montsma. Claimant met with Shepherd on January 23, 2014, and paid him the \$1,000 legal fee. Claimant and her daughter made multiple attempts to contact Attorney Shepherd after January 23 but were unsuccessful. After four months, claimant hired new counsel. New counsel was able to retrieve all of claimant's documents from Shepherd, but he has not returned the unearned advance fee.

Action: Pay \$1,000 [12/9/14]

Reason: Failure to return an unearned fee

**15-73 Kimberly R. Adkins v. Atty. Lorenzo Cobb**

Date Filed: 11/4/2014

Amount Sought: \$5,000

License Status: Good

Summary of Claim: Claimant hired Cobb on June 5, 2012 for an EEO and MSPB case. She began paying Cobb's fees via biweekly money orders for the total amount of \$5,000. She was fired from her federal position on April 19, 2013; on August 9, 2013 she signed the settlement agreement which included 45,000 in attorney's fees for Cobb's legal services. Claimant alleges that as part of the fee agreement, she was entitled a refund in the event of a settlement by her employer. To date, she has

not received the \$5,000 refund.

Response from Cobb: Cobb states that attorney fees amounted to \$14,616 and attorney fees recovered were in the amount of \$10,000. He states that claimant did not receive any monetary amount in damages and no refund is required.

Action: Deny [4/ 29/15]

Reason: Fee dispute as services were provided

**15-74 Linda Kay Buckli v. Atty. Thad M. Gegner**

Date Filed: 11/14/2014

Amount Sought: \$1,200

License Status: Good (has abandoned practice)

Summary of Claim: Claimant hired Gegner to handle her divorce. Gegner was ordered by the court to file the QDRO but did not do so. Claimant hired new counsel to file the QDRO. Claimant made numerous attempts to contact Gegner, but with no success.

Action: Deny [4/ 29/15]

Reason: Fee dispute as services were provided

**15-75 Jessie Townsend v. Atty. Bridget E. Boyle**

Date Filed: 11/17/2014

Amount Sought: \$2,000

License Status: Revoked

Summary of Claim: Claimant hired Boyle to represent him in a revocation hearing. Townsend filed a grievance with OLR on August 5, 2011. Boyle filed a petition requesting revocation of her law license asserting she was unable to defend the misconduct allegations, including the Townsend matter.

On July 18, 2014, the SC revoked her license and ordered restitution to Townsend in the amount of \$2,000.

Action: Defer [4/29/15]

Reason: Question was raised as to who the true claimant is. Will contact claimant for proof to who paid Boyle

**15-76 Sandra and Jonathon Woods v. Atty. Peter B. Karoblis**

Date Filed: 11/20/2014

Amount Sought: \$5,000

License Status: Good

Summary of Claim: This appears to be a fee dispute. Claimant hired Karoblis to represent Jonathon Woods in a criminal matter. Claimant was not happy with the services provided.

Response from Karoblis: He has provided information on the legal services performed on behalf of the claimant to support this as a possible fee dispute.

Action: Deny [4/ 29/15]

Reason: Fee dispute as services were provided

**15-83 Diana Lynn Christen v. Atty. Thad M. Gegner**

Date Filed: 12/22/2014

Amount Sought: \$6,000

License Status: Good

Summary of Claim: Claimant hired Gegner to represent her in both a family and civil action. She paid an advance fee of \$6,000. The family law matter was resolved by stipulation and order in July 2012. The civil action was not resolved at that time. From October 2012 to November 2013, Gegner allegedly assured claimant the civil action had been prepared and given to opposing counsel.

However, no stipulation had been prepared. Claimant fired Gegner in November 2013. During his testimony at an October 9, 2014 hearing with the OLR committee investigator, Gegner admitted withdrawing the \$6,000 from his trust account for his own personal use but reimbursing claimant \$2,900.

Action: Pay \$3,100 [4/29/15]

Reason: Represents the amount paid less the \$2,900 paid by Gegner.

**Action on Deferred/Reconsidered Claims – Fiscal Year 2015  
(June 30, 2014 – July 1, 2015)**

**11-28                      Constance Daul v. Christopher A. Mutschler**

Date Filed:                6/22/10

Amount Sought:        \$15,000

Summary of Claim:    This appears to be a fee dispute.

Claimant hired Mutschler to represent her son in an appeal of a conviction of two counts of homicide by use of a vehicle with PAC and or causing injury while operating with PAC. It appears that Mutschler did file an appeal on March 31, 2008 however; it also appears that Mutschler never communicated what work he was performing on behalf of the claimant. The Court of Appeals affirmed the conviction on January 29, 2009.

Action: Denied [8/24/10]

Reason:                  Failure to return an unearned advance fee

Action: Pay \$15,000 [12/9/14]

Reason:                  Restitution ordered by the Court

**12-39                      Lenora Bruski, PR for the Estate of Gertrude Borchardt v. Margaret Haggerty**

Date Filed:                10/31/11

Amount Sought:        \$155,478

License Status:         Deceased – December 9, 2010

Summary of Claim: As personal representative for the estate, claimant retained Haggerty to assist her and provide legal counsel concerning the administration of the estate. The estate is currently pending in Waukesha County Probate Court. The estate was opened in 2005 and numerous Orders to Show Cause as to the estate has not been closed were issued. Claimant's new attorney to assist with the estate has reviewed the bank records of Haggerty and it appears that she had two checking accounts with M&I; Law Offices of the Margaret Haggerty Trust Account; and, Margaret E. Haggerty Living Trust Account. Claimant alleges that Haggerty misappropriated funds from the estate – please refer to pages 19-21 of the application.

Action:                  Deny [3/15/12]

Reason:                  Claimant has an obligation to pursue a collateral source – the bank that improperly deposited the checks in the account to which the checks were made payable to.

Action:                  Deny [2/4/14]

Reason:                  No new information provided

Action:                  Pay \$124,978.90 [8/5/14]

Reason:                  Information provided to prove dishonest conduct

**14-09                      Patricia Ann Schaeffer v. James E. Gatzke**

Date Filed:                5/1/13

Amount Sought:        As much as the CPF is willing to give; OR \$30,132.72, plus interest from April 30, 2007 per the OLR disciplinary complaint filed with the SC

License Status:         Good

Summary of Claim: Claimant hired Gatzke to represent her in connection with her husband's estate, litigation filed before the suicide of her husband and potential criminal matters. Claimant

also asked Gatzke to handle her finances, including credit card debts, monthly bills and expenses. She executed a specific durable power of attorney naming Gatzke as her attorney-in-fact and authorizing him to deal with all documents related to her husband's estate. At the time of her husband's death, he had four life insurance policies worth \$8.5 million with Northwestern Mutual Life Insurance. On September 14, 2005, following a partial settlement of the litigation, the insurance company wired \$8,542,230.50 in life insurance proceeds and interest to interested parties; including \$2,542,230.50 to claimant via Gatzke's trust account. In the 22-count complaint filed with the WI SC on August 22, the OLR seeks restitution in the amount of \$30,132.72, plus interest, to claimant; and the revocation of Gatzke's law license. Please refer to the attached August 22 complaint.

Action:Defer [9/26/13]

Reason: Pending the OLR investigation.

Action:Defer [2/4/14]

Reason: Pending OLR investigation

Action:Defer [5/13/14]

Reason: Pending OLR investigation

Action:Defer [8/4/14]

Reason: Pending OLR investigation

Action:Defer [12/9/14]

Reason: Pending OLR investigation

Action:Defer [4/29/15]

Reason: Pending OLR investigation

**14-10 Aimee Joy Schaeffer v. James E. Gatzke**

Date Filed: 5/1/13

Amount Sought: \$500,000

License Status: Good

Summary of Claim: Based on information provided by the OLR, Aimee did not specifically file a grievance, however, OLR investigator Mary Smith has spent a tremendous amount of time investigating Gatzke and likewise investigated Gatzke's conduct regarding the funds belonging to Aimee. The claimant alleges that Gatzke converted the \$500,000 life insurance policy held by her father with claimant as the beneficiary. The OLR complaint filed with the Supreme Court is seeking restitution in the amount of \$275,446.25, plus interest from April 20, 2007, to claimant.

Gatzke's Response: Gatzke states that claimant's "complaint" with the CPF relates only to their real estate partnership and has nothing to do with the OLR complaint and for that reason should be dismissed without payment and the file should be closed.

Action:Defer [9/26/13]

Reason: Pending the OLR investigation.

Action:Defer [2/4/14]

Reason: Pending OLR investigation

Action:Defer [5/13/14]

Reason: Pending OLR investigation

Action:Defer [8/4/14]

Reason: Pending OLR investigation

Action:Defer [12/9/14]

Reason: Pending OLR investigation

Action:Defer [4/29/15]  
Reason: Pending OLR investigation

**14-31 Harald W. Mattox v. Atty. Peter J. Elliott**

Date Filed: 7/29/13  
Amount Sought: \$13,236.64  
License Status: Revoked

Summary of Claim: It appears as if the loss suffered by claimant is from funds loaned to Elliott. Claimant states that on June 15, 2008, Elliott agreed to handle real estate closings for claimant in exchange for claimant doing work on Elliott's home. On August 28, 2008, Elliott allegedly approached claimant to invest in a shopping mall; claimant states he received that investment back, but that Elliott did not return \$13,236.64 that was put into his trust account from his real estate sales.

Action:Deny [2/4/14]

Reason: Claim as an investment loss

Action:Pay 13,236.64 [12/9/14]

Reason: Claimant provided additional proof that loss was not an investment

**14-34 Moeketsi Molaoli v. Atty. Mary K. Biester**

Date Filed: 8/6/13  
Amount Sought: \$2,100  
License Status: Suspended 10/31/13

Summary of Claim: This appears to be a fee dispute. Based on CCAP records Biester did perform services for Molaoli in his divorce action.

Action: Deny [2/4/14]

Reason: Fee dispute

Action: Deny reconsideration [12/9/14]

Reason: Fee dispute

**14-37 Mary Ambrose v. Steven L. Wilson**

Date Filed: 8/8/13  
Amount Sought: \$11,000  
License Status: Deceased

Summary of Claim: Claimant hired Wilson to represent her in a personal injury matter and Wilson was to receive 1/3 of the settlement via a contingency fee. Claimant was not told by Wilson that he had settled the case with Progressive Insurance for the amount of \$11,000. Claimant did not receive any of the settlement funds. The claimant filed a claim against the estate in the amount of \$11,000 on October 14, 2013.

Action: Approve but defer payment of \$11,000 [2/4/14]

Reason: Dishonest conduct proven but waiting to trust account records from bank to determine correct amount

Action: Pay \$2,174 [8/5/14]

Reason: Amount is based on \$11,000, less attorney fees; and, based on trust account records, claimant received \$5,163 advance from Wilson which was also deducted from the \$11,000.

Action: Pay \$11,000 [12/9/14]

Reason: Trust account records received and loss of \$11,000 proven

**14-44 Mary Ann Franzen v. Atty. Sarah E.K. Laux**

Date Filed: 9/12/13

Amount Sought: \$584,172.79

License Status: Good

Summary of Claim: Claimant's son, Mark J. Franzen, submitted the application on behalf of his mother, Mary Ann Franzen. In January 2013, Laux had obtained sole control to more than \$2.1 million of Harold and Mary Ann Franzen's money to purchase a series of annuities between March 2013 and November 2013 on their behalf. On June 11, 2013, Laux admitted to Mrs. Franzen and Mark Franzen, that she took \$600,000 of the Franzen's money without their knowledge and permission rather than purchasing a series of annuities that had been agreed upon by both parties. The money was taken within weeks of the death of Harld Franzen.

Action:Defer [2/4/14]

Reason: Committee waiting until the attorney meets the criteria of license suspension or conviction

Action: Pay \$150,000 [8/5/14]

Reason: Dishonest conduct proven, and approved based on extreme hardship of claimant.

**14-57 the Estate of Linda Port, Atty. Janet Resnick, PR v. Atty. Perry H. Friesler**

Date Filed: 10/23/13

Amount Sought: \$119,750

License Status: Suspended Dues 10/31/12

Summary of Claim: Atty. Resnick was appointed successor personal representative for the Estate of Linda Port on September 11, 2012. She states she received a "box of worthless paper" from Friesler's attorney and she had to organize the history of the Estate. Friesler was Port's power of attorney and the only nominated PR in the will he drafter for her. Following Port's death on April 11, 2010, he gained unlimited access to Port's property. The will Friesler drafted waived a bond requirement leaving Port's children no avenue to collect the funds he "stole" from the estate. Friesler was appointed POA on May 13, 2010. Port had a money market account and checking account at Gauranty Bank. Resnick alleges that Friesler converted those two accounts to Estate accounts and he then began writing checks to himself. A checking account register is included with the claim. On January 4, 2011 Friesler filed the Estate's inventory listing \$312,734.49 in assets. Resnick states that she filed an amended inventory on May 30, 2013 which corrects the asset list and reveals a net estate of \$268,790.90. Resnick states, "To summarize, Perry Friesler paid himself \$119,750, more than 1/2 of the Estate's value. He is a thief. Linda Port trusted him and gave him access to her money because he is a lawyer."

Action:Defer [2/4/14]

Reason: Pending the outcome of the civil suit and/or conviction or license suspension of Friesler

Action:Defer [5/13/14]

Reason: Pending outcome of the civil suit and/or conviction or license suspension of Freisler

Action:Defer [8/5/14]

Reason: Pending outcome of the civil suit and/or conviction or license suspension of Freisler



Action:Defer [12/9/14]

Reason: Pending outcome of the civil suit and/or conviction or license suspension of Freisler

Action:Defer [4/29/15]

Reason: Pending outcome of the civil suit and/or conviction or license suspension of Freisler

**14-82 James E. and Amy M. Nandory v. Atty. William F. Mross**

Date Filed: 2/18/14

Amount Sought: \$5,500

License Status: Suspended 6/14/13

Summary of Claim: Claimant hired KenyArney, a paralegal, after receiving a solicitation from him offering assistance with claimant's financial concerns. While Arney did work for Mross, he operated a company under the name of Douglas Jackson Pierce. Arney was holding \$5,500 of claimant's funds which were to be used settlement discussions with a creditor. A March 20, 2013 fax to Fort Community CU from Mross stating that claimant was a client of his and that a settlement was reached with First Resolution Investment and that payment would be made from "our trust account...Douglas Jackson Pierce." The money was never forwarded to First Resolution.

Action:Defer [5/13/14]

Reason: Request claimant to provide more information as to the relationship between Attorney Mross and Ms. Arney.

Action:Deny [8/5/14]

Reason: Fee Dispute

**14-84 Lorna A. and Steven H. Schubert v. Atty. Steven L. Wilson**

Date Filed: 1/30/2014

Amount Sought: \$11,079.80

License Status: Deceased

Summary of Claim: Claimants hired Wilson to represent them for a personal injury case. The retainer agreement also authorized Wilson to pay any unpaid charges for medical services necessitated by the accident. Claimants did receive their portion of the settlement; however, payment was not made to Berlin Memorial Hospital. Claimants were served with a lawsuit from BMH on 9-1-13. While paperwork in claimant's file indicates that Wilson sent a check to BHM, BHM has no record of payment. There are no funds in the estate and BHM is unwilling to compromise its claim. Attorney for the claimants, Joseph Troy, submitted a claim against Wilson's estate. Godden noted that in her review of Wilson's bank records, two checks were remitted to, and cashed by Lorna Schubert in the amounts of \$3,169.95 dated August 29, 2011, and \$2,000 dated November 29, 2011. Additionally, Wilson wrote two checks to a Paul Schubert that were endorsed by Paul Schubert and signed over to Lorna Schubert. The two checks were in the amounts of \$9,500 and \$2,500, both dated April 5, 2012.

Action: Defer [5/13/14]

Reason: Request that claimants provide information on the two checks written to and endorsed by Lorna Schubert; and an explanation as to who Paul Schubert is and why he gifted \$12,000 to Lorna Schubert

Action: Pay \$11,079.80[8/9/14]

Reason: Dishonest conduct proven

**14-89 Crystal D. Stott v. Sean D. Cooper**

Date Filed: 3/12/2014

Amount Sought: \$1,500

License Status: Suspended 10/17/12; Revoked 12/10/13

Summary of Claim: Claimant Cooper to handle a Chapter 7 and to stop garnishment of her wages. When she made the final payment on April 24, 2012 she was notified that a Chapter 128 was being filed. Claimant received a letter from the Trustee Office requesting a payment arrangement. When she called Cooper's office she was told not to worry about the letter from the Trustee because the Chapter 7 would be filed. The filing was never done and Cooper could not be located.

Action: Deny [5/4/14]

Reason: Services were performed, making it a fee dispute

**14-91 Andrew F. and Sara Mancheski v. Timothy P. Dewane**

Date Filed: 3/14/2014

Amount Sought: \$800 (no receipts)

License Status: Good

Summary of Claim: Claimant hired Dewane to file a bankruptcy. When claimants returned to Dewane's office the following week, they were told Dewane was moving his office to the Manitowoc/Two Rivers area, gave them his contact information and told them to contact him in two weeks. No receipts were given by Dewane, but claimants allege the payments were noted in their file. The bankruptcy was never filed and Dewane relocated to Aurora, CO and updated his member record on 10/31/2013. Claimant contacted the fund to state that Dewane had contacted him and agreed to reimburse the advance fee. As of the date of the meeting, the refund had not been received by claimant.

Action:Defer [5/13/14]

Reason: Wait for communication from claimant as to whether or not the check was received.

Action: Withdrawn by claimant [8/5/14]

Reason: Claimant received payment from attorney

**14-93 Nicole M. Harmeyer v. Atty. Sean D. Cooper**

Date Filed: 3/17/2014

Amount Sought: \$1,200

License Status: Suspended 10/17/12; Revoked 12/10/13

Summary of Claim: Claimant hired Cooper in February 2012 and paid him \$1,500. Claimant did not provide a reason for seeking reimbursement.

Action:Defer [5/13/14]

Reason Request claimant complete the application and provide reason for seeking reimbursement

Action: Pay \$1,200 [8/5/14]

Reason: Claimant provided proof of dishonest conduct. Unearned advance fee.

**ATTACHMENT 2**

**STATE BAR EXPENSES**

Fund for Client Protection  
%Amy Elizabeth Wochos

Due to State Bar of Wisconsin July 1, 2014 - December 31, 2014

Reimbursed Expenses:

Fund for Client Protection Committee Expenses	4,686.30
Fund for Client Protection Program Expenses	9,125.71
Audit	2,060.00
Volunteer Travel	588.00
Staff Travel Expense	<u>0.00</u>

Total Reimbursed Expenses \$16,460.01

G&A Allocation:

General & Administrative Expenses	32.96%	\$5,425.22
(Allocation based on Total Expenses * Calculated G&A Rate)		

Total Due State Bar from Fund for Client Protection \$21,885.23

## STATEMENT

Fund for Client Protection  
%Amy Elizabeth Wochos

June 30, 2014 Balance Brought Forward		\$121,763.67
July 1, 2014 - December 31, 2014 Collections	410,320.00	
Interest July 1, 2014 - December 31, 2014	265.73	
Restitution Received	10,613.32	
Total Revenues		\$421,199.05
Paid to Clients	(363,048.45)	
Bank Fees	0.00	
State Bar & Reimbursed Expenses	(21,885.23)	
Total Disbursements		<u>(\$384,933.68)</u>
Balance in Fund for Client Protection December 31, 2014		<u><u>\$158,029.04</u></u>

## STATE BAR EXPENSES

Fund for Client Protection  
%Amy Elizabeth Wochos

Due to State Bar of Wisconsin January 1, 2015 - June 30, 2015

Reimbursed Expenses:

Fund for Client Protection Committee Expenses	2,272.77
Fund for Client Protection Program Expenses	8,628.52
Volunteer Travel	6,293.01
Staff Travel Expense	<u>1,511.92</u>

Total Reimbursed Expenses	\$18,706.22
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G&A Allocation:

General & Administrative Expenses	32.96%	\$6,165.57
(Allocation based on Total Expenses * Calculated G&A Rate)		

Total Due State Bar from Fund for Client Protection	<u><u>\$24,871.79</u></u>
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## STATEMENT

Fund for Client Protection  
%Amy Elizabeth Wochos

December 31, 2014 Balance Brought Forward		\$158,029.04
January 1, 2015 - June 30, 2015 Collections	1,200.00	
Interest January 1, 2015 - June 30, 2015	159.15	
Restitution Received	14,041.49	
Total Revenues		\$15,400.64
Paid to Clients	(22,894.40)	
Bank Fees	0.00	
State Bar & Reimbursed Expenses	(24,871.79)	
Total Disbursements		<u>(\$47,766.19)</u>
Balance in Fund for Client Protection June 30, 2015		<u><u>\$125,663.49</u></u>

	Claim Number	Date Filed	Amount Sought	Date Approved	Amount Paid
Atty. Jeffrey John Aleman					
Unearned Advanced Fees	15-61	10/7/14	\$2,400.00	4/29/15	2,400.00
Unearned Advanced Fees	15-95	3/18/15	\$7,893.40	4/29/15	7,893.40
<b>Total Claims:</b>	<b>2</b>		<b>\$10,293.40</b>		<b>10,293.40</b>
Atty. Bridget Eileen Boyle					
Unearned Advanced Fees	15-38	7/7/14	\$7,500.00	8/5/14	2,500.00
Unearned Advanced Fees	15-26	6/24/14	\$3,500.00	12/9/14	2,000.00
<b>Total Claims:</b>	<b>2</b>		<b>\$11,000.00</b>		<b>4,500.00</b>
Atty. Erika Anita Cannaday					
Unearned Advanced Fees	14-67	3/12/14	\$15,000.00	8/5/14	1,000.00
Unearned Advanced Fees	15-06	5/8/14	\$1,606.00	8/5/14	1,606.00
Unearned Advanced Fees	15-45	8/4/14	\$500.00	12/17/14	500.00
<b>Total Claims:</b>	<b>3</b>		<b>\$17,106.00</b>		<b>3,106.00</b>
Atty. John M. Carroll					
Unearned Advanced Fees	15/21	6/11/14	\$2,500.00	12/9/14	1,567.25
<b>Total Claims:</b>	<b>1</b>		<b>\$2,500.00</b>		<b>1,567.25</b>
Atty. Sean D. Cooper					
Unearned Advanced Fees	14-93	3/17/14	\$1,200.00	8/5/14	1,200.00
<b>Total Claims:</b>	<b>1</b>		<b>\$1,200.00</b>		<b>1,200.00</b>
Atty. Robert Paul D'Arruda					
Unearned Advanced Fees	15-07	5/9/14	\$2,000.00	8/5/14	2,000.00
Unearned Advanced Fees	15-16	5/22/14	\$500.00	8/5/14	500.00
Unearned Advanced Fees	15-40	7/14/14	\$5,500.00	12/9/14	4,500.00
Unearned Advanced Fees	15-40	12/18/14	\$1,000.00	4/29/15	1,000.00
Unearned Advanced Fees	15-44	8/4/14	\$500.00	4/29/15	500.00
<b>Total Claims:</b>	<b>4</b>		<b>\$9,500.00</b>		<b>8,500.00</b>
Atty. Peter T. Elliott					
Misappropriation of Funds	14-31	7/29/14	\$13,236.64	12/9/14	13,236.64
<b>Total Claims:</b>	<b>1</b>		<b>\$13,236.64</b>		<b>13,236.64</b>
Atty. Thad M. Gegner					
Unearned Advanced Fees	15-82	12/22/14	\$6,000.00	4/29/15	3,100.00
<b>Total Claims:</b>	<b>1</b>		<b>\$6,000.00</b>		<b>3,100.00</b>

	Claim Number	Date Filed	Amount Sought	Date Approved	Amount Paid
Atty. Margaret E. Haggerty Theft from an Estate	12-39	10/31/11	\$132,978.00	8/5/14	124,978.90
<b>Total Claims:</b>	<b>1</b>		<b>\$132,978.00</b>		<b>124,978.90</b>
Atty. Sarah E.K. Laux Misappropriation of Funds	14-44	9/12/13	\$574,172.79	8/5/14	150,000.00
<b>Total Claims:</b>	<b>1</b>		<b>\$574,172.79</b>		<b>150,000.00</b>
Atty. Christopher A. Mutschler Unearned Advanced Fees	11-28	6/22/10	\$15,000.00	12/9/14	15,000.00
<b>Total Claims:</b>	<b>1</b>		<b>\$15,000.00</b>		<b>15,000.00</b>
Atty. Suzanne Marie Smith Unearned Advanced Fees	15-18	5/30/14	\$2,724.50	12/9/14	2,724.50
<b>Total Claims:</b>	<b>1</b>		<b>\$2,724.50</b>		<b>2,724.50</b>
Atty. Harold E. Stafford Unearned Advanced Fees	15-64	10/10/14	\$1,195.00	4/29/15	1,195.00
<b>Total Claims:</b>	<b>1</b>		<b>\$1,195.00</b>		<b>1,195.00</b>
Atty. Gerald D. Stange Unearned Advanced Fees	15-15	5/22/14	\$300.00	12/9/14	300.00
<b>Total Claims:</b>	<b>1</b>		<b>\$300.00</b>		<b>300.00</b>
Atty. Alan R. Stewart Unearned Advanced Fees	15-46	8/6/14	\$4,400.00	12/9/14	4,400.00
<b>Total Claims:</b>	<b>1</b>		<b>\$4,400.00</b>		<b>4,400.00</b>
Atty. Steven L. Wilson Trust Account Conversion	14-37	8/8/13	\$11,000.00	8/5/14	2,174.00
Trust Account Conversion	14-84	1/30/14	\$11,079.80	8/5/14	11,079.80
Trust Account Conversion	14-37	8/8/13	\$11,000.00	12/9/14	3,087.00
<b>Total Claims:</b>	<b>2</b>		<b>\$33,079.80</b>		<b>16,340.80</b>
Atty. Everett E. Wood Unearned Advanced Fees	15-69	10/24/14	\$1,000.00	4/29/15	1,000.00
<b>Total Claims:</b>	<b>1</b>		<b>\$1,000.00</b>		<b>1,000.00</b>
Atty. Randy J. Wynn					



	Claim Number	Date Filed	Amount Sought	Date Approved	Amount Paid
Atty. Randy J. Wynn					
	Misappropriation of Funds 15-01	4/28/14	\$1,049.84	8/5/14	1,044.84
	Misappropriation of Funds 15-02	4/30/14	\$8,667.07	8/5/14	8,667.07
	Misappropriation of Funds 15-03	4/30/14	\$2,541.63	8/5/14	2,541.63
	Misappropriation of Funds 15-05	5/8/14	\$2,134.82	8/5/14	2,129.82
	Misappropriation of Funds 15-10	5/14/14	\$3,166.90	8/5/14	2,800.90
	Misappropriation of Funds 15-20	6/9/15	\$7,910.21	8/5/14	7,910.21
	Misappropriation of Funds 15-48	8/8/14	\$9,430.63	4/29/15	3,948.00
	Misappropriation of Funds 15-41	7/22/15	\$7,510.54	4/29/15	7,414.89
	Misappropriation of Funds 15-54	8/22/15	\$984.25	4/29/15	984.25
	Misappropriation of Funds 15-63	10/7/15	\$2,444.81	4/29/15	2,444.81
	Misappropriation of Funds 15-88	2/20/15	\$1,922.18	4/29/15	1,922.18
	Misappropriation of Funds 15-89	2/20/15	\$23,310.48	4/29/15	23,310.48
	Misappropriation of Funds 15-90	2/20/15	\$2,218.93	4/29/15	2,218.93
	Misappropriation of Funds 15-91	2/20/15	\$11,887.23	4/29/15	11,887.23
	<b>Total Claims: 14</b>		<b>\$85,179.52</b>		<b>79,225.24</b>
	<b>Total Claims: 39</b>		<b>\$920,865.65</b>		<b>\$440,667.73</b>
	<b>Total Attorneys with claims: 18</b>				

**Theft from an Estate**

	Claim Number	Date Filed	Amount Sought	Date Approved	Amount Paid
Atty. Margaret E. Haggerty	12-39	10/31/11	\$132,978.00	8/5/14	124,978.90
			\$132,978.00		124,978.90

**Total claims sought for Theft from an Estate = \$\$132,978.00**

**Total claims paid for Theft from an Estate = \$124,978.90**

**Misappropriation of Funds**

	Claim Number	Date Filed	Amount Sought	Date Approved	Amount Paid
Atty. Peter T. Elliott	14-31	7/29/14	\$13,236.64	12/9/14	13,236.64
			<b>\$13,236.64</b>		<b>13,236.64</b>
Atty. Sarah E.K. Laux	14-44	9/12/13	\$574,172.79	8/5/14	150,000.00
			<b>\$574,172.79</b>		<b>150,000.00</b>
Atty. Randy J. Wynn	15-10	5/14/14	\$3,166.90	8/5/14	2,800.90
	15-20	6/9/15	\$7,910.21	8/5/14	7,910.21
	15-01	4/28/14	\$1,049.84	8/5/14	1,044.84
	15-02	4/30/14	\$8,667.07	8/5/14	8,667.07
	15-03	4/30/14	\$2,541.63	8/5/14	2,541.63
	15-05	5/8/14	\$2,134.82	8/5/14	2,129.82
	15-48	8/8/14	\$9,430.63	4/29/15	3,948.00
	15-41	7/22/15	\$7,510.54	4/29/15	7,414.89
	15-54	8/22/15	\$984.25	4/29/15	984.25
	15-63	10/7/15	\$2,444.81	4/29/15	2,444.81
	15-88	2/20/15	\$1,922.18	4/29/15	1,922.18
	15-89	2/20/15	\$23,310.48	4/29/15	23,310.48
	15-90	2/20/15	\$2,218.93	4/29/15	2,218.93
	15-91	2/20/15	\$11,887.23	4/29/15	11,887.23
			<b>\$85,179.52</b>		<b>79,225.24</b>

**Total claims sought for Misappropriation of Funds = \$\$672,588.95**

**Total claims paid for Misappropriation of Funds = \$242,461.88**

**Trust Account Conversion**

	Claim Number	Date Filed	Amount Sought	Date Approved	Amount Paid
Atty. Steven L. Wilson	14-37	8/8/13	\$11,000.00	8/5/14	2,174.00
	14-84	1/30/14	\$11,079.80	8/5/14	11,079.80
	14-37	8/8/13	\$11,000.00	12/9/14	3,087.00
			<b>\$33,079.80</b>		<b>16,340.80</b>

**Total claims sought for Trust Account Conversion = \$33,079.80**

**Total claims paid for Trust Account Conversion = \$16,340.80**

**Unearned Advanced Fees**

	Claim Number	Date Filed	Amount Sought	Date Approved	Amount Paid
Atty. Jeffrey John Aleman	15-61	10/7/14	\$2,400.00	4/29/15	2,400.00
	15-95	3/18/15	\$7,893.40	4/29/15	7,893.40
			<b>\$10,293.40</b>		<b>10,293.40</b>
Atty. Bridget Eileen Boyle	15-38	7/7/14	\$7,500.00	8/5/14	2,500.00
	15-26	6/24/14	\$3,500.00	12/9/14	2,000.00
			<b>\$11,000.00</b>		<b>4,500.00</b>
Atty. Erika Anita Cannaday	14-67	3/12/14	\$15,000.00	8/5/14	1,000.00
	15-06	5/8/14	\$1,606.00	8/5/14	1,606.00
	15-45	8/4/14	\$500.00	12/17/14	500.00
			<b>\$17,106.00</b>		<b>3,106.00</b>
Atty. John M. Carroll	15/21	6/11/14	\$2,500.00	12/9/14	1,567.25
			<b>\$2,500.00</b>		<b>1,567.25</b>
Atty. Sean D. Cooper	14-93	3/17/14	\$1,200.00	8/5/14	1,200.00
			<b>\$1,200.00</b>		<b>1,200.00</b>
Atty. Robert Paul D'Arruda	15-07	5/9/14	\$2,000.00	8/5/14	2,000.00
	15-16	5/22/14	\$500.00	8/5/14	500.00
	15-40	7/14/14	\$5,500.00	12/9/14	4,500.00
	15-40	12/18/14	\$1,000.00	4/29/15	1,000.00
	15-44	8/4/14	\$500.00	4/29/15	500.00
			<b>\$9,500.00</b>		<b>8,500.00</b>
Atty. Thad M. Gegner	15-82	12/22/14	\$6,000.00	4/29/15	3,100.00
			<b>\$6,000.00</b>		<b>3,100.00</b>
Atty. Christopher A. Mutschler	11-28	6/22/10	\$15,000.00	12/9/14	15,000.00
			<b>\$15,000.00</b>		<b>15,000.00</b>
Atty. Suzanne Marie Smith	15-18	5/30/14	\$2,724.50	12/9/14	2,724.50
			<b>\$2,724.50</b>		<b>2,724.50</b>
Atty. Harold E. Stafford	15-64	10/10/14	\$1,195.00	4/29/15	1,195.00
			<b>\$1,195.00</b>		<b>1,195.00</b>
Atty. Gerald D. Stange	15-15	5/22/14	\$300.00	12/9/14	300.00
			<b>\$300.00</b>		<b>300.00</b>

**Unearned Advanced Fees**

	Claim Number	Date Filed	Amount Sought	Date Approved	Amount Paid
Atty. Alan R. Stewart	15-46	8/6/14	\$4,400.00	12/9/14	4,400.00
			<b>\$4,400.00</b>		<b>4,400.00</b>
Atty. Everett E. Wood	15-69	10/24/14	\$1,000.00	4/29/15	1,000.00
			<b>\$1,000.00</b>		<b>1,000.00</b>

**Total claims sought for Unearned Advanced Fees = \$\$82,218.90**

**Total claims paid for Unearned Advanced Fees = \$56,886.15**

\$920,865.65

440,667.73